

1 **Effect of concrete workability on bond properties of steel rebar in** 2 **pre-cracked concrete**

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9 **Abstract**

10 Although previous research has shown a considerable influence of the pre-cracking phenomenon
11 on steel-congested concrete members, only normal concrete (NC) has been considered in the
12 literature. Hence, this paper intends to study the effect of the pre-cracking phenomenon on the
13 bond response of pre-cracked NC with different slump flow values and self-consolidating concrete
14 (SCC). Initial crack widths ranging from 0.0 to 0.5 mm are studied. Results show that initial crack
15 widths larger than 0.10 mm have a significant influence on bond properties so that higher than
16 30% and 50% reduction factors are obtained for the maximum bond strength of concrete specimens
17 exposed to the initial crack widths of 0.2 mm and 0.4 mm respectively. Results show that concrete
18 mixtures with higher workability are less sensitive to the pre-cracking phenomenon as compared
19 to NC mixtures. The average bond stress of steel rebar in the pre-cracked SCC is found to be
20 similar to that of the NC with a slump flow of 200 mm, which is considerably better than for NC

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21 with a slump flow of 97 mm. Moreover, results show that 65.8%, 80.6%, 88.5%, and 93.1%
22 fracture energy reductions are obtained for crack widths of 0.15, 0.20, 0.30, 0.40, and 0.50 mm
23 respectively.

24 **Keywords:** bond-slip; self-consolidating concrete; pre-cracked concrete; steel rebar;
25 flowability.

26

27 **1. Introduction**

28 Recently, there has been a growing tendency of studying bond characteristics of steel rebar
29 embedded in cracked concrete, denoted as “pre-cracking phenomena”, in which induced initial
30 cracks generate and propagate through a plane containing a reinforcing bar (rebar) axis ([Mousavi
31 et al., 2019](#), [Brantschen et al., 2016](#), [Lindorf, 2011](#), [Matsumoto et al., 2016](#), [Mousavi et al., 2020b](#)).
32 Plastic shrinkage cracking in the steel-congested concrete region ([Hadidi and Saadeghvaziri, 2005](#),
33 [Saadeghvaziri and Hadidi, 2005](#)) and accidental internal damages due to the previous overloading
34 (such as earthquakes and/or overstress situations) ([Matsumoto et al., 2016](#)) can cause the pre-
35 cracking phenomenon, where corrosion plays no direct role in generating the internal crack. Until
36 now, different expressions have been used in the literature for describing the pre-cracking
37 phenomenon including mechanical pre-loading ([Brantschen et al., 2016](#), [Brantschen, 2016](#)),
38 biaxial load transfer ([Lindorf et al., 2009](#), [Saadeghvaziri and Hadidi, 2005](#)), multiaxial stress states
39 ([Purainer, 2005](#)), and transverse tension ([Lindorf, 2011](#)). These situations cause the crack
40 propagation parallel to the rebar direction, resulting in internal damages at the rebar-concrete
41 interface.

42 Recently, [Brantschen et al. \(2016\)](#) conducted an experimental investigation to study the bond
43 behavior of steel rebar in the pre-cracked concrete by inducing initial cracks with different widths
44 ranging from 0.20 mm to 2.0 mm. They showed that in-plane cracking has a significant effect on
45 the bond strength along with the bond-slip stiffness. Moreover, they used the aggregate interlock
46 approach to present an analytical model of the effect of the in-plane cracking on bond behavior.
47 However, they reported that the bond index, in its current form, could not adequately characterize
48 bond properties in the pre-cracked concrete. They recommended the use of rebar rib geometry (rib
49 orientation, height, and spacing) for future studies related to the pre-cracking phenomenon
50 ([Brantschen et al., 2016](#)). In this field, [Mousavi et al. \(2019\)](#) presented a specific bond-slip model
51 and also development length formulation for predicting bond characteristic of steel rebar
52 embedded in pre-cracked NC. They considered initial crack widths ranging from 0.10 mm to 0.40
53 mm in their formulations. Recently, [Mousavi et al. \(2020a\)](#) proposed a simplified model for bond-
54 slip response in pre-cracked NC. They used rebar deformations (rib height and spacing) and crack
55 width to introduce an analytical model for predicting the maximum bond strength of rebar
56 embedded in the pre-cracked concrete. They showed that the pre-cracking phenomenon reduces
57 the lateral concrete confinement surrounding the rebar.

58 As reported in previous research, steel-congested concrete members have been mostly affected by
59 the pre-cracking phenomenon ([Matsumoto et al., 2016](#)) including the typical surface crack pattern
60 of a slab specimen reinforced with transverse elements (cracks in punching area around column)
61 ([Brantschen et al., 2016](#)), flexural reinforcement in slabs ([Dawood and Marzouk, 2012](#)), and
62 reinforced concrete (RC) beam-column joints ([Joergensen and Hoang, 2015](#)). However, only NC
63 has been considered in previous studies, while relatively new concrete generations have been
64 introduced for using in the steel-congested regions to maintain desired structural behavior, such as

65 NC mixture with a slump flow value higher than 150 mm and SCC mixture with a slump flow
66 value higher than 500 mm ([Mousavi et al., 2016](#), [Mousavi et al., 2017](#)).

67 Few studies have investigated the effect of concrete workability on mechanical properties of RC
68 members especially bond strength. They reported some conflicting results, which require further
69 investigation. Increasing the amount of water, adding different types of superplasticizer, using a
70 high amount of fine aggregates, using air-entraining (AE) admixture, and replacing some
71 percentage of cement by mineral fillers are different approaches used for increasing the workability
72 of concrete mixtures. Previous studies have focused mainly on the “top bar effect” in which using
73 concrete mixture with a high slump flow increases the risk of the bleeding phenomenon. This
74 increases the concrete cracking and the porosity of the hydrated cement paste surrounding the
75 lower parts of horizontally placed rebar ([Khayat and Guizani, 1997](#)). However, despite the “top
76 bar effect”, few studies have determined the effect of concrete workability on normally-positioned
77 rebar without the bleeding phenomenon. In this field, [Collepari and Corradi \(1979\)](#) reported that
78 the addition of chemical admixtures (naphthalene-sulfonated polymer-based superplasticizers)
79 improves the rebar-concrete bond strength for both ordinary and lightweight mixtures along with
80 the flowability of concrete mixtures. Similarly, [Fu and Chung \(1998\)](#) and [Pop et al. \(2015\)](#) reported
81 that with an increase in the fluidity of concrete mixture, the interfacial void content decreases
82 causing higher bond strength. However, [Brettmann et al. \(1986\)](#) showed that a high slump NC
83 made with a high-range water-reducer (HRWR) has a lower bond strength as compared to a low
84 slump concrete with the same compressive strength. In this field, [Zilveti et al. \(1985\)](#) reported that
85 a high slump concrete mixture has comparable bond properties to those of low slump mixtures,
86 which was confirmed by [Thrane et al. \(2010\)](#). However, only uncracked concrete specimens were
87 considered in the literature.

88 Hence, the present study intends to determine the effect of concrete workability (or flowability)
89 on the bond response of steel rebar embedded in pre-cracked concrete. To address this issue, an
90 experimental program was conducted in the present study. Three different concrete mixtures with
91 different slump values were considered for this experimental program. Bond responses of
92 uncracked and pre-cracked specimens were studied through pull-out tests. A comparison study
93 was also performed between the concrete mixtures with normal, medium, and high workability.

94 **2. Experimental program**

95 **2.1 Materials**

96 Three different concrete mixes were considered for this experimental program (Table 1). Cement
97 was a general use Portland cement (CSA A3001 type GU or ASTM C150 type I) with a density of
98 3.15 g/cm^3 . The fine aggregate was natural sand with a maximum grain size of 1.25 mm and a
99 specific gravity of 2.68. The coarse aggregate was crushed gravel with a particular gravity of 2.68
100 and a nominal maximum diameter of 14 mm and 20 mm for normal (NC) and self-consolidating
101 concrete (SCC) respectively. Limestone powder was used as a filler in SCC mixtures with a
102 relative density of 2.68 and a maximum particle size of about 200 μm . The particle size distribution
103 of the cement and limestone powder is illustrated in Fig. 1. Fresh properties and compressive
104 strength of mixtures are given in Table 1. NC1, NC2, and SCC mixtures correspond to NC with a
105 slump flow of 97 mm, moderate flowable NC with a slump flow of 200 mm, and SCC with a slump
106 flow of 709 mm respectively. Water-to-total powder ratios of 0.41, 0.43, and 0.41 were considered
107 for NC1, NC2, and SCC mixtures respectively.

108

109 **2.2 Specimens and test set-ups**

110 For each mixture, 3 cylindrical specimens with a diameter of 100 mm and a height of 200 mm
111 were prepared to measure the concrete compressive strength. A total number of 26 cylindrical
112 specimens with a diameter of 150 mm and a height of 113 mm were also considered for pull-out
113 tests under monotonic loading including 9 uncracked and 17 pre-cracked specimens (Fig. 2(a)).
114 All specimens were cured for 28 days in a moisture room at 97.3% relative humidity (RH) and 23
115 °C temperature. To simulate the pre-cracking phenomenon, an indirect tensile test (Brazilian
116 splitting test) was considered for generating cracks perpendicular to the direction of the rebar
117 placed at the center of cylindrical specimens (Fig. 2(b)). A displacement-controlled loading with
118 a rate of 0.15 mm/min was applied to prevent unexpected splitting failure during the pre-cracking
119 loading. To measure the initial crack width, two crack gauges were installed at both sides of
120 concrete cylinders. As crack width changes with unloading, the ultimate initial crack width was
121 directly measured after stopping the pre-cracking procedure (Fig. 2(c)). Direct pull-out tests were
122 carried out by applying tensile force directly to the rebar. An MTS testing machine with a load
123 capacity of 250 kN was used to apply the tensile load through a displacement-controlled protocol
124 at the rate of 0.5 mm/min. The unloaded end slip was measured with a linear variable differential
125 transformer (LVDT). To provide a relatively uniform distribution of bond stresses, the embedment
126 length of the rebar was 50 mm (five times the nominal diameter of rebar) in all specimens. This
127 short-embedded length provides a better measurement of the local bond stress. Plastic sleeves were
128 used for covering the unbonded length (Fig. 2(a)). An automatic data acquisition system was used
129 to record the data. Crack opening during the pull-out test was also measured by crack gauges for
130 obtaining bond stress-crack opening curves. This curve is used to obtain the bond fracture energy.

131 It was tried to impede the splitting bond failure in uncracked specimens by providing enough
 132 concrete cover around the rebar ($c_c/d_b = 7.5$). In all cases, the rebar was positioned at the center
 133 of cylinders. The used steel rebar has a nominal diameter of 10 mm with a specified yield strength
 134 and ultimate tensile strength of 432 MPa and 620 MPa respectively. The surface characteristics
 135 and rib pattern of the steel rebar used are shown in Fig. 2(d). The average value of rib–face angle
 136 for rebar used in the experimental program was about 55 degrees. The rib spacing-to-rib height
 137 ratio (S_r/h_r) was about 7.0.

138 3. Experimental results

139 As mentioned widely in the literature ([Guizani et al., 2017](#), [Wu and Zhao, 2012](#)), for the short bond
 140 region (generally five times the rebar diameter), bond stress is close to uniform distribution and
 141 can be averaged along the anchorage length to get a close estimate of the local bond stress. Hence,
 142 the local bond stress, τ (N/mm²), can be calculated by Eq. (1):

$$\tau = \frac{F}{\pi d_b l_e} \quad (1)$$

143 where F is the tensile load, d_b is the rebar diameter, and l_e is the embedded length. As
 144 recommended by RILEM ([TC, 1994](#)), arithmetic mean of bond stresses (denoted as “average bond
 145 stress”) is calculated by Eq. (2), in which variables $\tau_{0.01}$, $\tau_{0.10}$, and $\tau_{1.00}$ corresponding to bond
 146 stresses at slips of 0.01 mm, 0.10 mm, 1.0 mm respectively.

$$\tau_m = \frac{\tau_{0.01} + \tau_{0.10} + \tau_{1.00}}{3} \quad (2)$$

147 Based on the literature ([Trezos et al., 2014](#), [Mousavi et al., 2019](#)), maximum (or ultimate) bond
 148 strength (τ_u), average bond stress (τ_m), residual bond strength corresponding to a slip of 10.0 mm

149 (τ_r) , and area under the bond-slip curve (denoted as bond energy, E_b) are considered as
150 representative variables of bond characteristics of mixtures. All representative bond parameters
151 are normalized to the square root of the concrete compressive strength of each mixture, $\tau/\sqrt{f'_c}$.
152 Overall, results of uncracked and pre-cracked concrete, as well as the failure modes, are
153 summarized in Table 2. Initial crack widths ranging from 0.0 (uncracked) to 0.5 mm were obtained
154 by the pre-cracking tests. Specimens are designated by the type of concrete (NC1, NC2, and SCC)
155 followed by the letter “C” and initial crack width in mm for pre-cracked concrete, or only “U” for
156 uncracked concrete. Although three specimens were considered for every initial crack width, only
157 two repetitions were obtained in some cases due to the brittle nature of the pre-cracking test and
158 to the difficulty of controlling the target initial crack width. The pull-out failure mode was observed
159 for uncracked specimens (Fig. 3(a)). Similarly, pre-cracked specimens with initial crack widths
160 smaller than 0.15 mm ($w < 0.15$ mm) failed by pulling out the rebar without splitting the
161 surrounding concrete. Similar results for NC mixtures were reported by [Mousavi et al. \(2019\)](#), in
162 which small initial crack width has no impact on bond failure mechanism. However, large initial
163 crack widths significantly affect the maximum bond strength along with the failure mode so that
164 the splitting failure mode was obtained for pre-cracked specimens with $w \geq 0.15$ mm (Fig. 3(b)).

165 **3.1 Uncracked concrete**

166 Bond-slip curves of uncracked specimens for different concrete mixtures along with the
167 normalized bond properties are illustrated in Fig. 4(a). Although comparable results are obtained
168 for the normalized average bond stress, general results indicate that NC2 mixture has the highest
169 interfacial maximum bond strength and NC1 mixture has the lowest values among the other

170 mixtures (Fig. 4(b)). Comparing mixtures with the same average bond stress (τ_m), as
171 recommended by RILEM, is appropriate in the section of pre-cracked concrete as this parameter
172 is meaningful notably about on both bond strength and the initial stiffness. Although SCC and
173 NC2 mixtures have an approximately similar compressive strengths of 38.80 MPa and 40.34 MPa
174 respectively (Table 1), SCC mixture has lower bond properties as compared to NC2 mixture.
175 However, the maximum and residual bond strengths of SCC mixture are higher than NC1 mixture
176 with the same water-to-powder ratio of 0.41. Many studies have reported the higher maximum
177 bond strength of steel rebar in SCC than NC ([Mousavi et al., 2017](#), [de Almeida Filho et al., 2008](#),
178 [Valcuende and Parra, 2009](#), [Sabău et al., 2016](#), [Zhu et al., 2004](#), [Desnerck et al., 2010](#)), while some
179 studies have shown comparable or slightly lower results ([Esfahani et al., 2008](#), [Castel et al., 2006](#),
180 [Pandurangan et al., 2010](#), [König et al., 2001](#), [Schuessl and Zilch, 2001](#), [Gibbs and Zhu, 1999](#),
181 [Lorrain and Daoud, 2002](#)). These observed inconsistency of the test results may attributed to the
182 concrete compositions and the experimental conditions considered in the literature ([Sfikas and](#)
183 [Trezos, 2013](#)).

184 **3.2 Pre-cracked concrete**

185 Bond-slip curves of pre-cracked specimens for the studied concrete mixtures are shown in Fig. 5.
186 General results show that the pre-cracking phenomenon has a significant impact on the bond-slip
187 curve so that a high reduction is observed for the bond energy in pre-cracked concrete. In the case
188 of uncracked concrete, there is a plateau after the maximum bond strength, while a sudden drop is
189 observed for pre-cracked concrete in all mixtures. As the initial crack width increases, the slope of
190 the descending branch of the bond-slip curve increases, which shows a more rapid drop in bond
191 stress with increasing slip (Fig. 5). Although specimens with small initial crack widths ($w < 0.15$

192 mm) have similar plateau at the peak, the pre-cracking phenomenon affects the post-peak bond
193 response so that the slope of the descending branch of the bond-slip curve is steeper as compared
194 to uncracked concrete (Fig. 5(a, c)). This leads to about 39.0% and 42.0% reductions in the area
195 under the bond-slip curves (characteristic bond energy, E_b) (Table 2). A low characteristic energy
196 value corresponds to the brittle bond behavior, while a high energy value results from a ductile
197 bond response ([Mousavi et al., 2019](#)).

198 To determine the bond strength reduction due to the pre-cracking phenomenon, a reduction factor
199 is defined as below:

$$RF = \left[\frac{\tau_{Uncracked} - \tau_{Pre-cracked}}{\tau_{Uncracked}} \right] \times 100 \quad (3)$$

200 where $\tau_{Uncracked}$ and $\tau_{Pre-cracked}$ are bond strengths of uncracked and pre-cracked concrete
201 respectively, which are listed in Table 2. Reduction factors corresponding to the maximum bond
202 strength of mixtures are illustrated in Fig. 6(a). Results show that SCC mixture has the lowest
203 reduction factor among the other mixtures which indicate that, in terms of the maximum bond
204 strength, SCC mixture is less sensitive to the pre-cracking phenomenon than NC mixture. This can
205 be attributed to the high paste content in SCC mixture as compared to NC mixture. Regarding NC
206 mixtures, as slump flow value increases, the sensitivity of concrete to the pre-cracking
207 phenomenon decreases, so that NC2 has a lower reduction factor as compared to NC1 for the
208 maximum bond strength. Regarding uncracked concrete, [Fu and Chung \(1998\)](#) and [Pop et al.
209 \(2015\)](#) reported that with an increase in the slump (fluidity) of concrete mixture, the interfacial
210 microvoids around the rebar decreases causing a higher bond strength. Uniform distribution of
211 matrix and aggregate surrounding the rebar, due to the higher slump of concrete, may increase the
212 probability of the aggregate interlocking phenomenon across the initial cracks. This phenomenon

213 causes an increase in the maximum bond strength and average bond stress of high slump flow
 214 mixtures. As shown in Fig. 6(a), the reduction factor of the bond strength has a good empirical
 215 correlation with the initial crack width (w) for all mixtures as follows:

$$RF_{NC1} = 163.36w \quad R^2=0.99 \quad (4)$$

$$RF_{NC2} = 193.68w \quad R^2=0.96 \quad (5)$$

$$RF_{SCC} = 138.30w \quad R^2=0.95 \quad (6)$$

216 Similarly, in the case of the average bond stress, NC1 has the highest reduction factor (Fig. 6(b)),
 217 while SCC and NC2 mixtures have approximately similar reduction factor. Although there is no
 218 precise trend for the reduction factor of the residual bond strength (Fig. 6(c)), the general tendency
 219 indicates that SCC mixture has the lowest reduction factor among the other mixtures.

220 Regarding small initial crack widths ($w \leq 0.10$ mm), as mentioned in Table 2, the pull-out failure
 221 mode was observed for SCC specimen, while the failure was sudden for NC2 specimen causing
 222 concrete splitting along with pulling-out the rebar. As shown in Fig. 7(a), initial crack widths cause
 223 16.92% and 5.73% maximum bond strength reductions in NC2 and SCC mixtures respectively.

224 Similar observations are obtained for the average bond stress (τ_m) and the residual bond strength
 225 (τ_r). However, comparable bond energy (E_b) is obtained for both mixtures. Regarding the average
 226 bond stress, even improvement in bond strength is observed for pre-cracked SCC specimens as
 227 compared to the uncracked ones (Fig. 7(a)), which may be due to the aggregate interlock at the
 228 crack surfaces. Crack opening of specimens was also measured for NC2 and SCC mixtures. Two
 229 crack gauges were installed at both sides of rebar during the pull-out tests. As illustrated in Fig.
 230 7(b), for pre-cracked SCC specimen, similar crack width opening is observed during the tests for

231 two gauges, while different values of crack width opening are noted for NC2 mixture. Moreover,
232 results show that an approximate linear ascending branch is observed for both mixtures prior to a
233 plateau, before reaching the maximum bond strength. The stiffness of this initial branch of the
234 curve is steeper for NC2 mixture specimens as compared to SCC mixture. The length of this
235 plateau is longer for SCC mixture specimens as compared to NC2 mixture, which means that
236 higher sustained bond strength is obtained. The area under the bond-crack opening curve
237 demonstrates the fracture energy. Similar to the bond energy shown in Fig. 7(a), for a small initial
238 crack width, pre-cracked SCC specimen shows slightly higher/comparable fracture energy for the
239 ascending branch of the bond stress-crack opening curve ending at the maximum bond strength
240 (Fig. 7(b)), as compared to NC2 specimen.

241 Bond stress-crack opening curves of the pre-cracked specimens with large initial crack widths are
242 illustrated in Fig. 8. Results show that an unsymmetrical crack opening phenomenon happened
243 during pull-out tests (Fig. 3(c)). Results presented in Fig. 8 show that this phenomenon is more
244 crucial for NC2 specimens as compared to SCC ones, causing different bond stress-crack opening
245 curves for two crack gauges installed at both sides of the steel rebar. The results shown in Figs. 7
246 and 8 indicate that the crack opening of large initial cracks is different from the small ones. Crack
247 opening more than 6.0 mm is observed for large crack widths (Fig. 8). Crack opening is very small
248 until the peak (maximum bond strength, τ_u), while considerable crack opening values and also
249 sudden drops in curves are observed after the peak. Additionally, crack gauges installed at both
250 sides of rebar show different behaviors before and after the maximum bond strength. This indicates
251 the existence of non-uniform (or unsymmetric) crack opening surrounding the rebar after the pre-
252 cracking phenomenon that arises mostly from the brittle nature of the cracking in concrete (Fig.
253 8). The area under the bond stress-crack opening curve can be used as the “fracture energy,

254 F_{energy} ” of the bond mechanism in the pre-cracked specimen. The maximum, minimum, and
 255 average values of the fracture energy of NC2 and SCC mixtures are shown in Fig. 9, concerning
 256 the initial crack widths. Results show that as the initial crack width increases, the average fracture
 257 energy decreases so that 40.7%, 13.9%, 7.9%, and 2.8% values are obtained for fracture energies
 258 of specimens exposed to 0.15, 0.2, 0.3, 0.4, and 0.5 mm initial crack widths. Similar results are
 259 observed for the minimum and maximum values of the fracture energy. Finally, Eq. (7) is achieved
 260 for predicting the fracture bond energy of pre-cracked specimens as a function of the secondary
 261 crack opening (\acute{w}) with a good correlation of $R^2=0.99$, as follows:

$$F_{energy} = 42.45\acute{w}^{-1.62} \quad (7)$$

262 4. Discussion

263 As reported by [Mousavi et al. \(2019\)](#), rebar diameter has a considerable impact on the influence
 264 of the pre-cracking phenomenon, so that normalized bond stress is related to the initial crack width-
 265 to-rebar diameter ratio (w/d_b). A similar trend is illustrated in Fig. 10. NC2 and SCC mixtures
 266 approximately have the same trend, while NC1 mixture follows different trends. Good correlations
 267 exist between the experimental results and the w/d_b ratio for all mixtures, as expressed by Eqs.
 268 (8)-(10). Values of 3.41, 4.18 and 3.97 are obtained for the normalized bond strength of uncracked
 269 specimens of NC1, NC2, and SCC mixtures respectively ($w = 0$), which reduce linearly with the
 270 initial crack width as follows:

$$\left[\frac{\tau_u}{\sqrt{f'_c}} \right]_{NC1} = -73.41 \frac{w}{d_b} + 3.41 \quad R^2=0.97 \quad (8)$$

$$\left[\frac{\tau_u}{\sqrt{f'_c}} \right]_{NC2} = -77.69 \frac{w}{d_b} + 4.18 \quad R^2=0.99 \quad (9)$$

$$\left[\frac{\tau_u}{\sqrt{f'_c}} \right]_{SCC} = -64.15 \frac{w}{d_b} + 3.97 \quad R^2=0.97 \quad (10)$$

271 Based on the proposed equations for the normalized bond strength of rebar in pre-cracked concrete,
 272 existence of the initial cracks with widths of larger than 0.52 mm, 0.61 mm, and 0.70 mm results
 273 in zero bond strength ($\tau_{max}/\sqrt{f'_c} \approx 0$) for NC1, NC2, and SCC mixtures respectively. This high
 274 value of the initial crack width corresponding to SCC mixture shows a considerable resistance to
 275 the pre-cracking phenomenon. General observations show that the initial crack width significantly
 276 changes the interfacial bond behavior so that bond failure mode is changed for initial crack widths
 277 larger than 0.15 mm. The results summarized in Table 2 and Fig. 10 demonstrate that bond
 278 behavior of a pre-cracked specimen with $w \geq 0.15$ mm is governed by the splitting failure mode,
 279 where a post-peak sudden drop in bond stress can be observed until reaching an equilibrium
 280 between the radial component of the post-splitting bond stresses and the post-splitting confining
 281 action (tensile resistance of the concrete) ([Harajli, 2009](#), [Mousavi et al., 2019](#)). This can be
 282 attributed to the change in the failure mode, which is the main objective of the following sections
 283 related to the theoretical analysis.

284 As reported by [Murcia-Delso and Benson Shing \(2014\)](#), surface separation (in both parallel and
 285 normal directions) between the rebar and the surrounding concrete plays a significant role in the
 286 bond-slip phenomenon, which can significantly affect the interface strength. Induced initial crack
 287 generated by the pre-cracking phenomenon causes similar surface separation with a more
 288 significant impact ([Mousavi et al., 2020a](#), [Mousavi et al., 2019](#)). As comprehensively described

289 by [Mousavi et al. \(2020a\)](#), the height of the rib above the surface of the rebar plays a major role in
 290 the rebar-concrete interface separation due to the pre-cracking phenomenon. Thus, the ratio of the
 291 initial crack width-to-rib height can be used to present the bond reduction factor. [Murcia-Delso](#)
 292 [and Benson Shing \(2014\)](#) presented a bond-slip model considering the surface separation. They
 293 related the bond strength to the rib height without concentrating on the pre-cracking phenomenon.
 294 Accordingly, a reduction factor (ρ_{nm}) can be achieved based on the obtained experimental results,
 295 as a function of the surface separation, as follows:

$$\rho_u = \frac{(\tau_u)_{\text{pre-cracked}}}{(\tau_u)_{\text{uncracked}}} \quad (11)$$

$$\rho_u = \begin{cases} 1 & w/2 \leq M_1 h_r \\ f(w/h_r) & M_1 h_r < w/2 < M_2 h_r \\ 0 & w/2 \geq M_2 h_r \end{cases} \quad (12)$$

296 where ρ_u is a reduction ratio of the bond strength that considers the opening of cracks due to the
 297 pre-cracking phenomenon. M_1 and M_2 are empirical coefficients for different concrete
 298 compositions, which are summarized in Table 3. As shown in Fig. 2(d), value of 1.89 mm was
 299 measured for the rib height of the steel rebar.

300 The performance of the proposed model is shown in Fig. 11. The dependence of the reduction ratio
 301 (ρ_u) on the ratio of the initial crack width-to-rib height (Eq. (12)) can be attributed to the fact that
 302 the loss of bond strength is related to a reduction in the interfacial contact between the steel ribs
 303 and the concrete. For a well-confined situation (uncracked concrete and $w \leq 0.10$), the interface
 304 separation is very small; consequently, the reduction factor will be equal or very close to one ($\rho_u \approx$
 305 1.0). Different separation mechanisms can be occurred for a pre-cracked specimen, including one-
 306 side and both-side separations. The value of 0.50 is considered in this section, which corresponds
 307 to the initial crack width of $w/2$ at each side of the rebar. This value was suggested by [Brantschen](#)

308 [et al. \(2016\)](#) as an idealized pre-cracking situation. Based on the experimental results (Table 3),
309 the domain of $w/2 \leq 0.026h_r$ is obtained (for all mixtures) in the Eq. (12) for the reduction factor
310 equal to 1.0. Based on the model presented by [Murcia-Delso and Benson Shing \(2014\)](#), if the
311 interface separation is larger than the rib height, the contact between ribs and the concrete is lost,
312 and the bond stress disappears ($\rho_u = 0$). However, the values of $0.14h_r$, $0.16h_r$, and $0.19h_r$ are
313 obtained for NC1, NC2, and SCC mixtures respectively, as the critical separation values for a
314 complete loss of the bond strength. These values correspond to the initial crack widths of $w \approx 0.61$,
315 0.52 , and 0.70 mm for NC1, NC2, and SCC mixtures respectively (Figs. 6 and 10). This may be
316 attributed to the significant reduction in the concrete compressive strength due to the pre-cracking
317 phenomenon, which has been reported by several works ([Vecchio and Collins, 1993](#), [Kollegger](#)
318 [and Mehlhorn, 1990](#), [Shirai and Noguchi, 1989](#), [Mikame et al., 1991](#), [Belarbi and Hsu, 1991](#)).
319 Considerable effect of compressive strength on the rebar-concrete interfacial strength has been
320 frequently confirmed ([Guizani et al., 2017](#), [Mousavi et al., 2017](#)).
321 It is worth mentioning that the proposed equations are validated for the experimental results of the
322 present study. More experimental investigations are necessary to determine the efficiency of the
323 proposed equations and to generalize them for different concrete mixtures.

324 **5. Conclusion**

325 An experimental program was conducted in the present study to determine the effect of concrete
326 workability (or flowability) on the pre-cracking phenomenon. Three concrete mixtures with
327 normal, moderate, and high flowability were considered. Specimens with different initial crack
328 widths were obtained through the Brazilian test (splitting). Pull-out results of the pre-cracked

329 specimens were compared with the uncracked ones. According to the experimental results, the
330 following conclusions can be drawn:

- 331 - In the case of uncracked specimens, general results show that SCC mixture has 13.2%
332 higher normalized maximum bond strength as compared to NC1 mixture (normal concrete
333 with a high slump flow of 97 mm) with the same water-to-powder ratio of 0.41. However,
334 SCC mixture has 20.4% lower normalized maximum bond strength than NC2 mixture
335 (normal concrete with slump flow of 200 mm) with approximately the same compressive
336 strength. This trend is more significant in the normalized residual bond strength among the
337 other interfacial bond parameters.
- 338 - Overall, results corresponding to the pre-cracked specimens show that SCC mixture is less
339 sensitive to the pre-cracking phenomenon as compared to NC mixtures so that the slope of
340 the maximum bond strength-crack width curves are 163.36, 193.68, and 138.30 for NC1,
341 NC2, and SCC mixtures respectively. Moreover, in terms of the maximum bond strength
342 and the average bond stress, NC2 mixture shows better performance (lower reduction
343 factor) for all initial crack widths than NC1 mixture. A higher value of slump flow can be
344 the main reason for this observation.
- 345 - Empirical equations are presented for all pre-cracked specimens (Eq. (12)). They show that
346 despite the existing scenario of the total bond reduction until the surface clearance of $w =$
347 h_r , values of $0.14h_r$, $0.16h_r$, and $0.19h_r$ are obtained for NC1, NC2, and SCC mixtures
348 respectively as the critical separation values for complete loss in bond strength.
- 349 - Results of the present study indicate that more specifications should be considered in the
350 current concrete design codes for considering the effect of the pre-existing cracks on the
351 maximum bond strength, especially in steel-congested concrete members such as interior

352 and exterior joints, slabs, and shear walls. Generally, the critical initial crack width of 0.15
353 mm should be specified in codes to preserve the bond properties.
354 As various types of fillers with different dosages can be used to produce SCC mixture, more
355 experimental studies are necessary to determine the effect of the pre-cracking phenomenon on the
356 bond of pre-cracked concrete. Also, more experimental studies are suggested for future works to
357 comprehensively determine the performance of the proposed models for different types of concrete
358 mixtures, various rib geometries of rebar, and transverse confinement.
359

Conflict of interests

The authors declare that there are no competing interests regarding the publication of this paper.

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360 List of symbols

B	length of the top horizontal and diagonal section of ribs
d_b	rebar diameter
E_b	Absorbed energy by the bond mechanism
F	tensile force of pull-out test
F_{energy}	fracture bond energy
f'_c	concrete compressive strength
h_r	rib height of rebar
l_e	embedded length ($5d_b$)
M1, M2	empirical coefficients for Eq. (11)
RF	bond properties reduction factor due to the pre-cracking phenomenon

S_r	spacing of periodical ribs
S_{r0}	effective length of crushed concrete between two adjacent ribs
w	initial crack width
\acute{w}	crack opening during the pull-out test of pre-cracked specimens
w/c	water-to-cement ratio
w/p	water-to-powder ratio
τ	bond stress
τ_m	average bond stress as defined by RILEM
$\tau_{0.01}$	bond stress at slip of 0.01 mm
$\tau_{0.10}$	bond stress at slip of 0.10 mm
$\tau_{1.00}$	bond stress at slip of 1.0 mm
$\tau_{Uncracked}$	bond stress for uncracked concrete
$\tau_{Pre-cracked}$	bond stress for pre-cracked concrete
τ_u	maximum bond strength
τ_r	residual bond strength
α	rib-face angle
ρ_u	bond reduction ratio as a function of rebar height and crack width

361

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484 **Table 1** Mixture proportions, fresh properties, and compressive strength

Constituent	Quantity (kg/m ³)		
	NC1	NC2	SCC
Water	165	170	215
Cement (GU)	395	395	420
Limestone powder	-	-	105
Fine aggregate	788	788	940
Coarse aggregate (5-10 mm)	822	822	352
Coarse aggregate (10-14 mm)	258	258	219
Coarse aggregate (14-20 mm)	-	-	270
Superplasticizer	2.34	5.2	5.0
Viscosity modifying admixture	-	-	2.5
Air entraining admixture	-	0.83	-
w/c	0.41	0.43	0.51
w/p ¹	0.41	0.43	0.41
Fresh mix temperature (°C)	20.9	21.8	22.7
Slump (mm)	97	200	709
T ₅₀ (s)	-	-	2.37
Hardened density (kg/m ³)	2453.80	2390.08	2375.70
<i>f'</i> _c (MPa)	58.82 (1.39)	38.80 (0.95)	40.34 (0.72)

¹ water-to-powder ratio, p=weight of powder (cement+limestone).

* data inside the parentheses denote the standard deviation.

485 **Table 2** Bond characteristics and corresponding standard deviations for mixtures

Specimens	f'_c	w	$\tau_{0.01}$	$\tau_{0.1}$	$\tau_{1.0}$	τ_m	$\tau_m/\sqrt{f'_c}$	τ_u	$\tau_u/\sqrt{f'_c}$	τ_r	$\tau_r/\sqrt{f'_c}$	E_b	Failure*
NC1-U	58.8 (1.39)	0	13.60	21.43	23.40	19.48	2.54	25.19	3.28	5.77	0.75	146.0	P
		0	5.77	16.60	23.67	15.35	2.00	25.07	3.27	5.55	0.72	147.9	P
		0	4.98	21.07	26.03	17.36	2.26	27.12	3.54	8.18	1.07	163.6	P
		average	-	-	-	17.40	2.27	25.79	3.36	6.50	0.85	152.5	-
NC1-C0.2		0.20	2.52	13.96	5.48	7.32	0.95	18.05	2.35	0.00	0.00	12.6	S
NC1-C0.3		0.30	1.59	6.94	2.25	3.59	0.47	12.87	1.68	0.66	0.09	9.1	S
		0.30	0.77	5.22	5.57	3.85	0.50	10.41	1.36	0.01	0.00	8.8	S
		average	-	-	-	3.72	0.49	11.64	1.52	0.34	0.05	8.9	-
NC1-C0.4		0.40	0.05	0.27	4.07	1.46	0.19	4.71	0.61	0.17	0.02	4.0	S
		0.40	1.26	4.51	0.00	1.92	0.25	4.51	0.59	0.00	0.00	1.1	S
		average	-	-	-	1.69	0.22	4.61	0.60	0.09	0.01	2.6	-
NC2-U	38.8 (0.95)	0	5.32	13.27	24.53	14.37	2.31	24.74	3.97	14.60	2.15	180.1	P
		0	5.65	12.54	26.06	14.75	2.37	26.68	4.28	14.25	2.29	203.3	P
		0	9.39	17.85	27.29	18.18	2.92	27.47	4.41	17.75	2.85	221	P
		average	-	-	-	15.77	2.53	26.30	4.22	15.53	2.43	201.5	-
NC2-C0.1		0.10	5.71	12.34	21.84	13.30	2.13	21.85	3.51	0.0	0.0	117.0	S-P
NC2-C0.15		0.15	3.63	8.47	17.56	9.89	1.59	18.48	2.97	0.0	0.0	82.5	S
NC2-C0.5		0.50	1.36	3.92	2.96	2.75	0.44	5.51	0.88	0.56	0.09	8.11	S
		0.50	2.20	3.92	0.87	2.33	0.37	4.09	0.66	0.09	0.01	3.47	S
		average	-	-	-	2.54	0.41	4.80	0.77	0.33	0.05	5.79	-
SCC-U	40.3 (0.72)	0	5.74	14.16	23.92	14.61	2.30	23.95	3.77	12.95	2.04	180.6	P
		0	6.24	16.59	24.59	15.81	2.49	24.71	3.89	12.17	1.92	176.7	P
		0	5.39	16.16	25.05	15.53	2.45	25.16	3.96	11.52	1.81	171.3	P
		average	-	-	-	15.32	2.41	24.61	3.87	12.21	1.92	176.2	-
SCC-C0.1		0.10	9.92	16.37	22.84	16.38	2.58	23.20	3.65	4.97	0.78	108.0	P
SCC-C0.2		0.20	2.82	10.95	7.79	7.19	1.13	18.43	2.90	0.41	0.06	16.7	S
		0.20	3.27	11.68	0.0	4.98	0.78	16.83	2.65	0.0	0.0	9.8	S
		0.20	1.33	7.43	15.69	8.15	1.28	19.68	3.10	1.66	0.26	49.3	S
		average	-	-	-	8.77	1.06	18.31	2.88	0.69	0.11	25.3	-
SCC-C0.3		0.30	0.84	7.52	0.15	2.84	0.45	15.11	2.38	0.08	0.013	5.9	S
		0.30	3.54	11.58	8.54	7.89	1.24	14.33	2.26	0.35	0.06	17.4	S
		average	-	-	-	5.37	0.85	14.72	2.32	0.22	0.04	11.7	-
SCC-C0.4		0.40	1.02	6.40	6.8	4.74	0.75	10.31	1.62	0.23	0.04	11.8	S
		0.40	4.20	9.87	1.80	5.29	0.83	9.90	1.56	0.10	0.02	7.7	S
		average	-	-	-	5.02	0.79	10.11	1.59	0.17	0.03	9.8	-

* Modes of failure: P=pull-out; S=splitting./ Note: data inside the parentheses denote the standard deviation.

486 **Table 3** Empirical coefficients used in Eq. (6) for different concrete compositions

Mix	$f(w/h_r)$ in Eq. (6)	M_1	M_2
NC1	$f(w/h_r) = -5.11 \frac{w}{h_r} + 1.26$	0.026	0.14
NC2	$f(w/h_r) = 1.41e^{(-7.79 \frac{w}{h_r})}$	0.026	0.16
SCC	$f(w/h_r) = 1.34e^{(-5.46 \frac{w}{h_r})}$	0.026	0.19

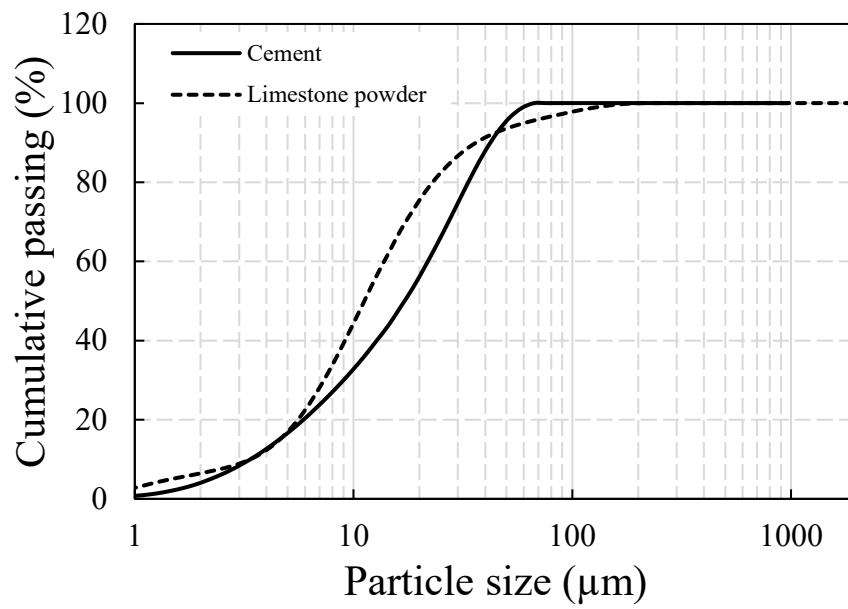
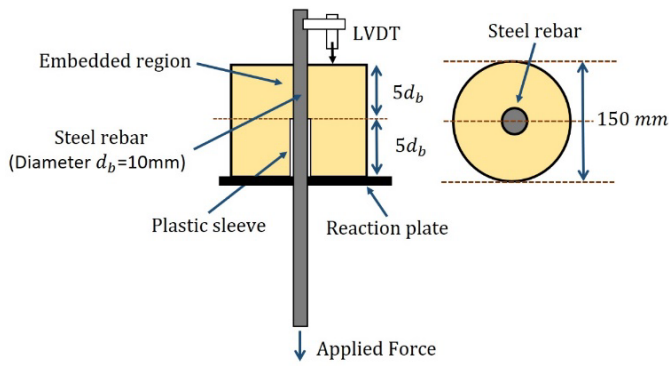


Fig. 1 Particle size distribution of the powders used in the present study

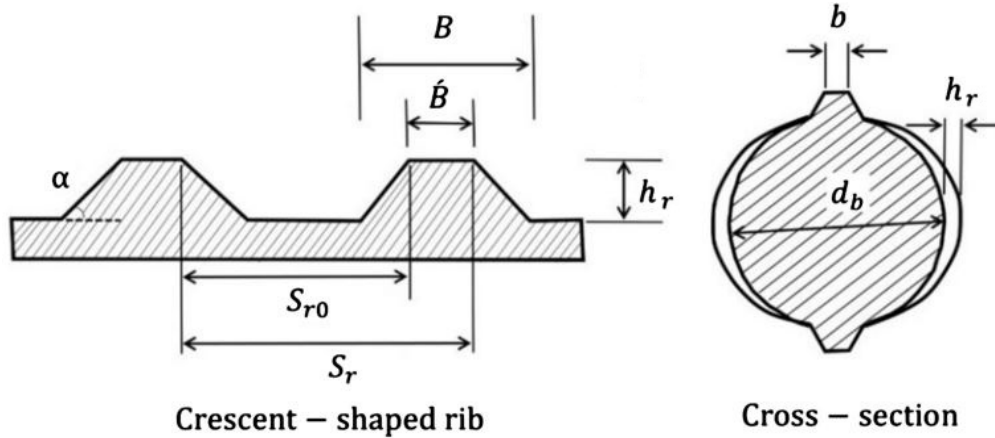


(a)

(b)



(c)



Crescent – shaped rib

Cross – section

d_b (mm)	h_r (mm)	B (mm)	b (mm)	S_r (mm)	S_{r0} (mm)	α (degree)
10	1.89	4.86	2.70	13.22	12.14	55

(d)

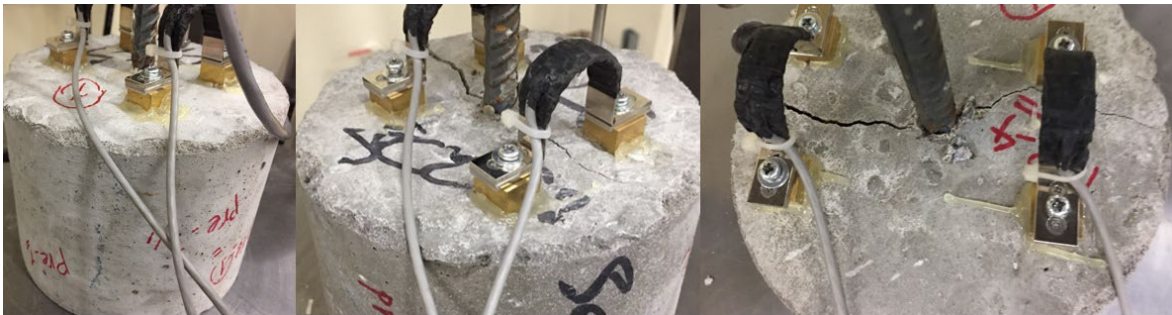
Fig. 2 Test set-up: (a) specimen dimensions and pull-out test setup ; (b) pre-cracking test; (c) pre-cracked specimens; (d) rebar geometry



(a)

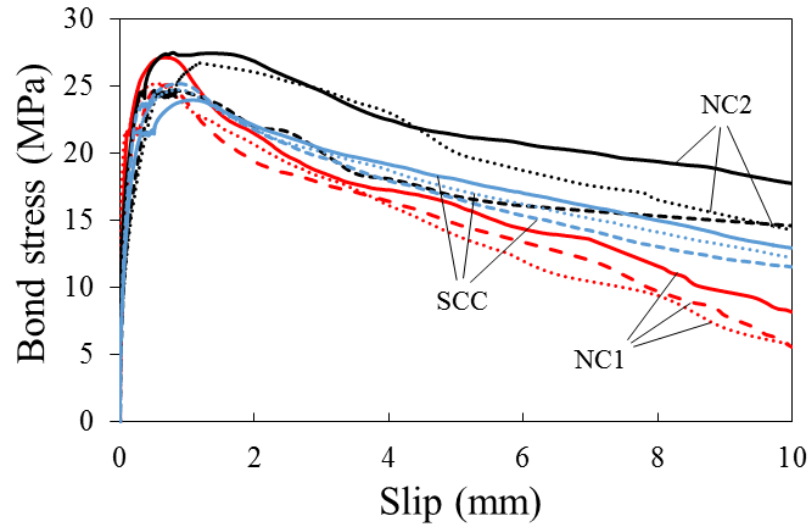


(b)

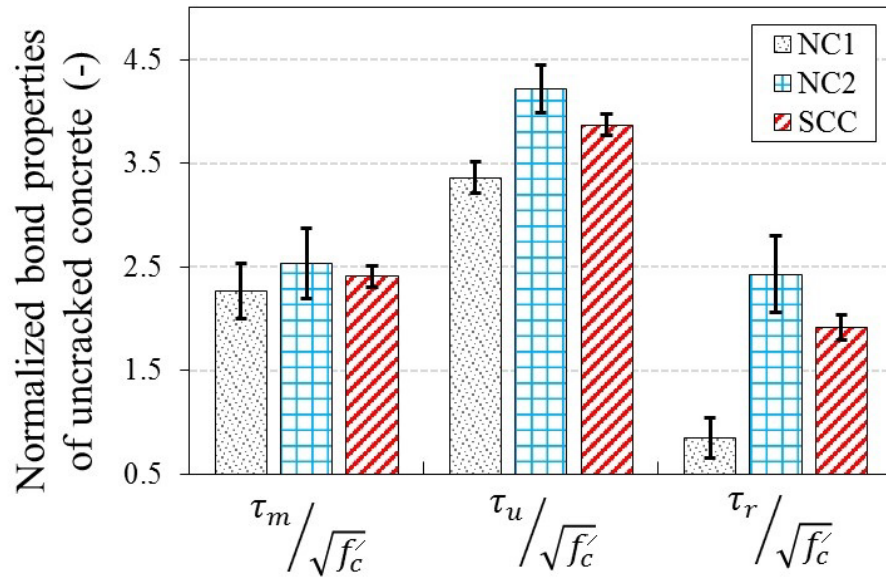


(c)

Fig. 3 Failure modes: (a) pull-out; (b) splitting; (c) crack opening during pull-out tests

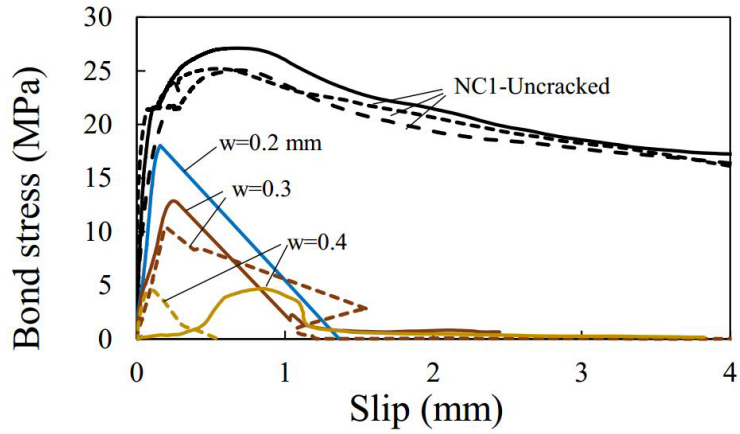


(a)

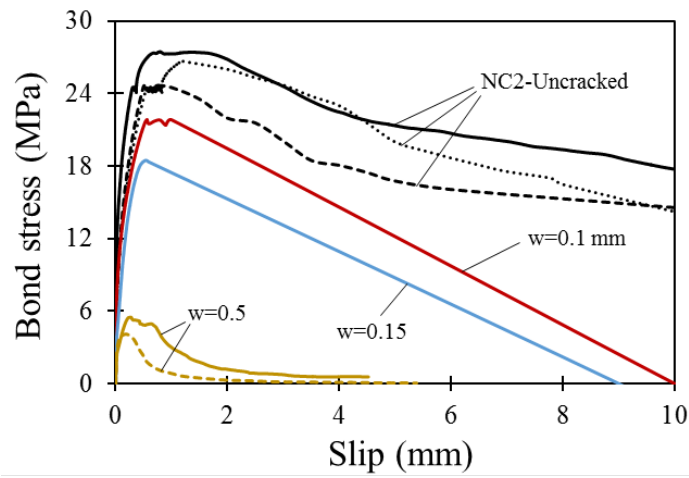


(b)

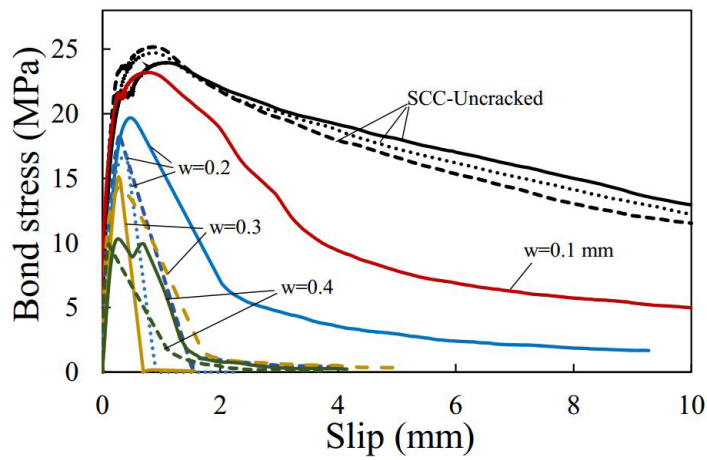
Fig. 4 Uncracked concrete results: (a) bond-slip curves; (b) normalized bond properties



(a)

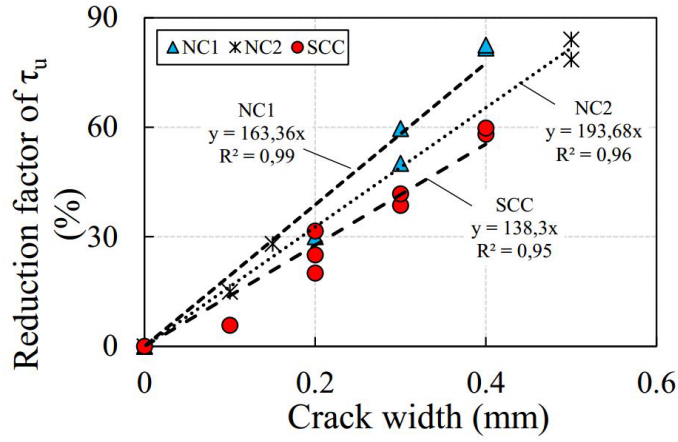


(b)

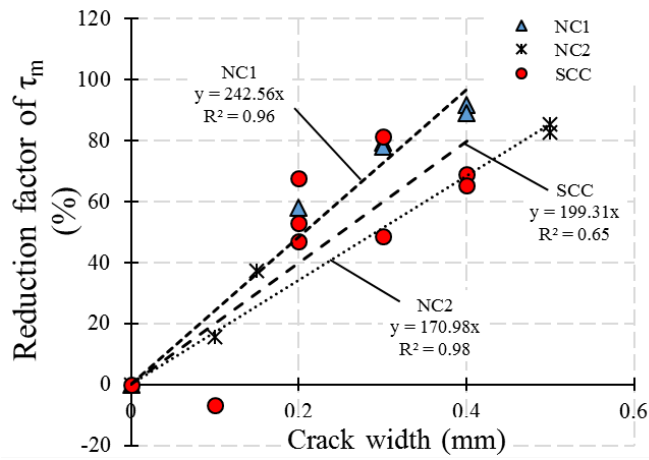


(c)

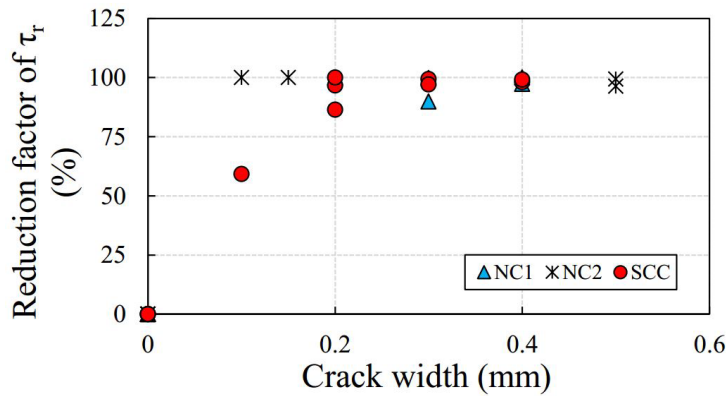
Fig. 5 Bond-slip responses of uncracked and cracked specimens: (a) NC1; (b) NC2; (c) SCC



(a)

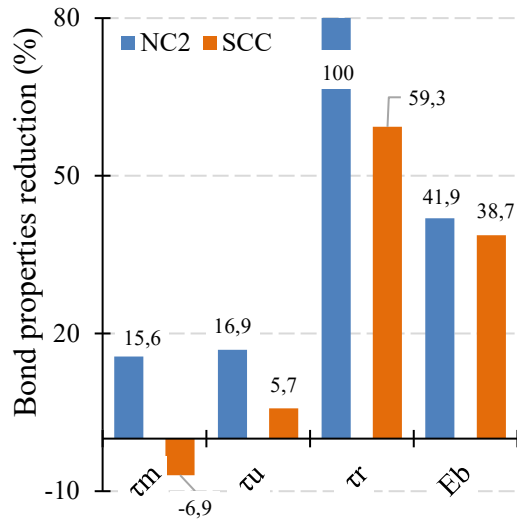


(b)

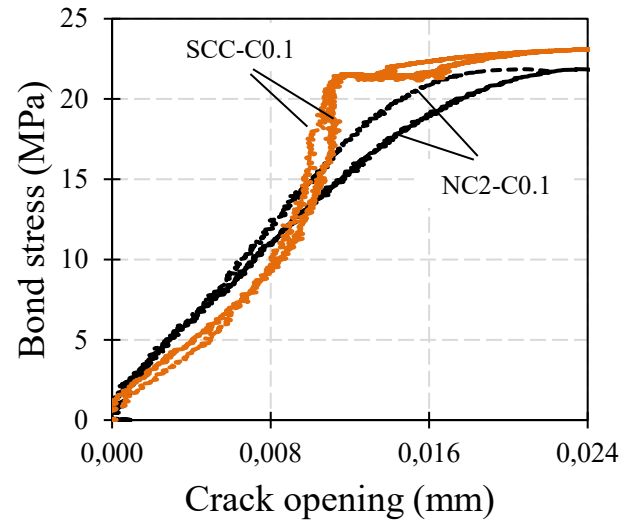


(c)

Fig. 6 Reduction factors of bond response due to the pre-cracking: (a) maximum bond strength; (b) average bond stress; (c) residual bond strength

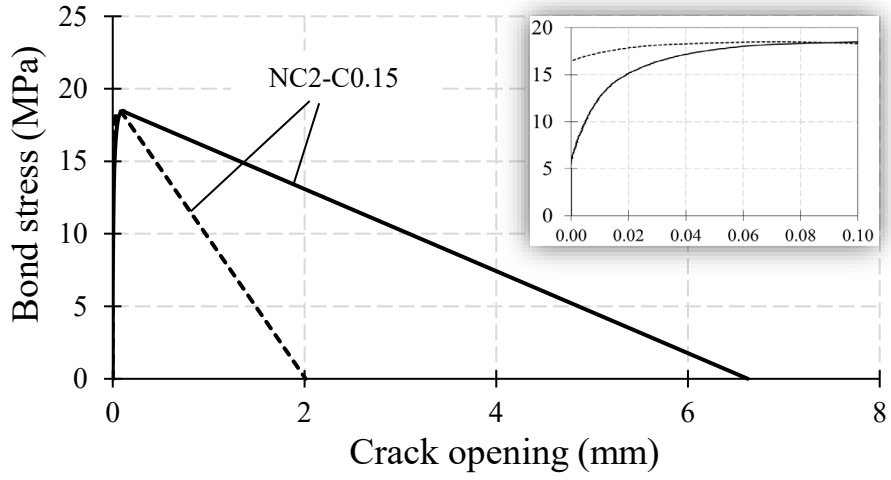


(a)

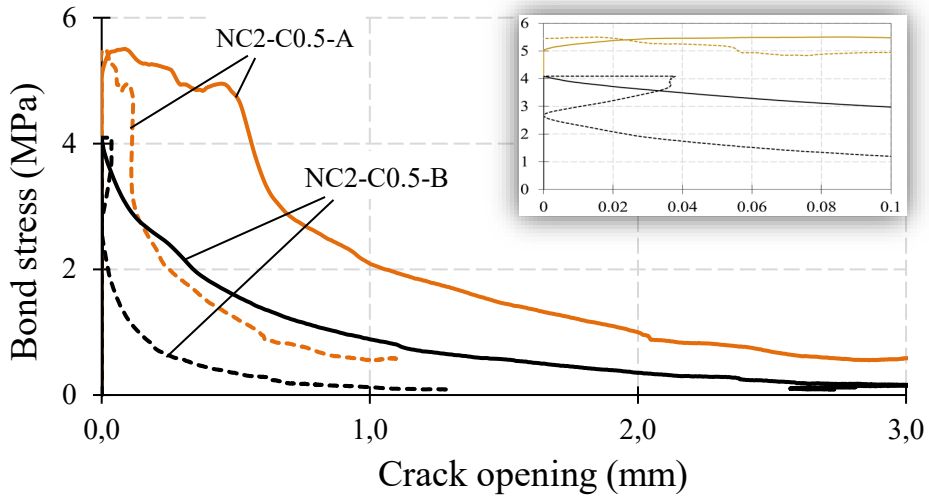


(b)

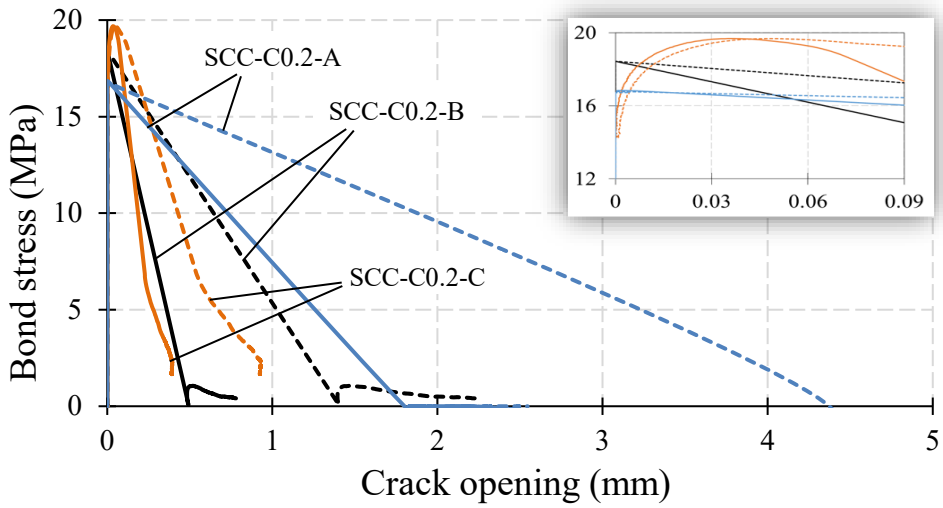
Fig. 7 Effect of small initial crack widths (0.10 mm) on: (a) bond properties; (b) crack opening during pull-out test.



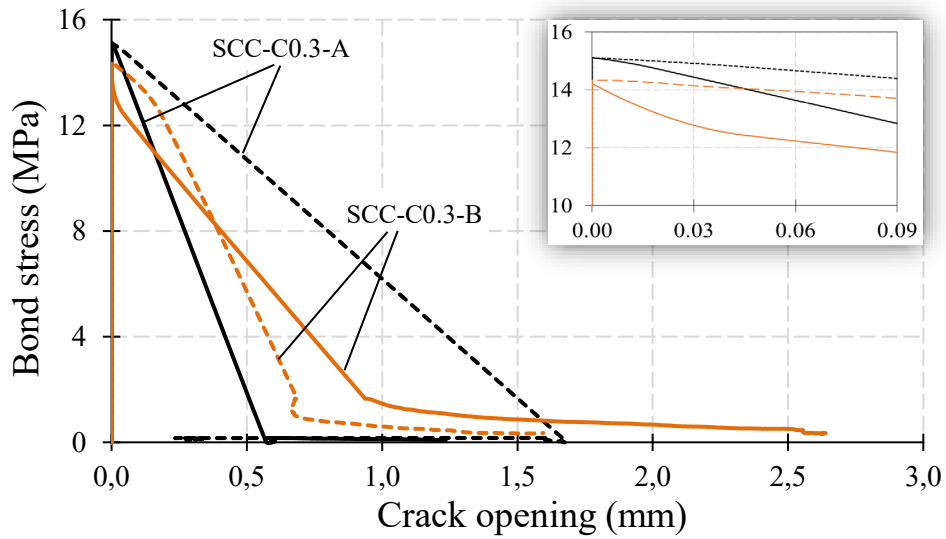
(a)



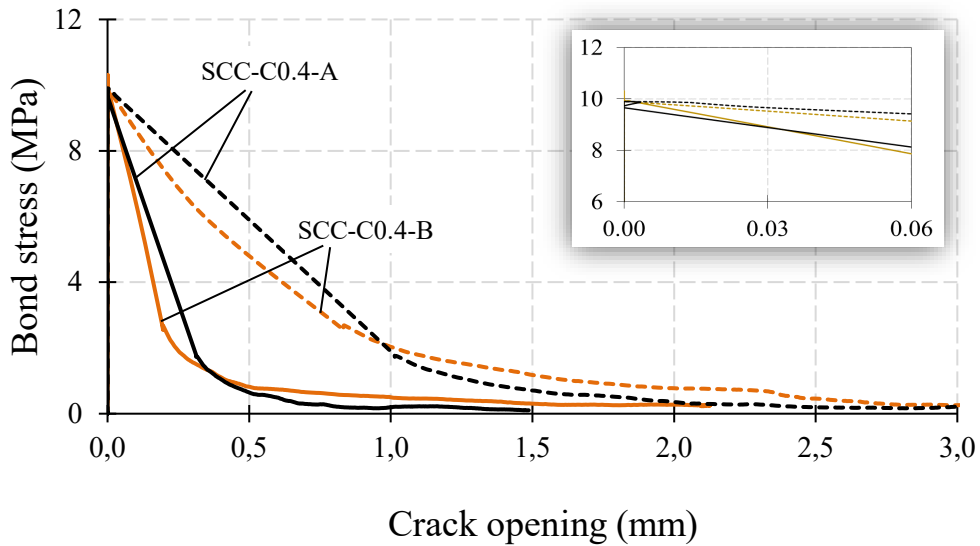
(b)



(c)



(d)



(e)

Fig. 8 Effect of large initial crack widths on bond stress-crack opening curve: (a) NC2 with $w = 0.15$ mm; (b) NC2 with $w = 0.50$ mm; (c) SCC with $w = 0.20$ mm; (d) SCC with $w = 0.30$ mm; (e) SCC with $w = 0.40$ mm

(Note: continuous and dashed lines denote results of crack gauges installed at both sides of rebar during pull-out test)

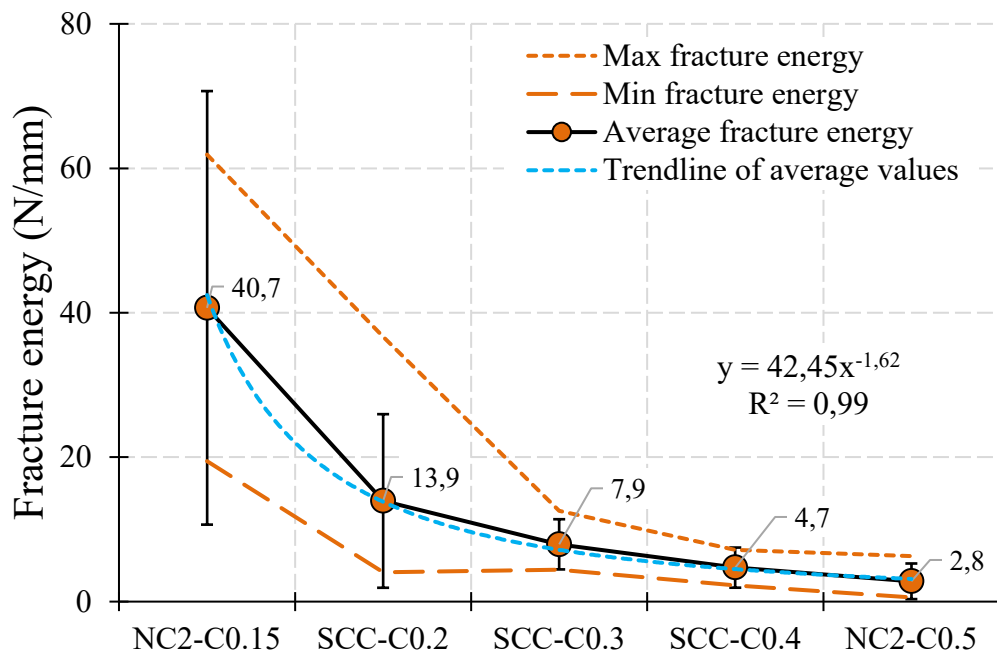


Fig. 9 Fracture energy of cracked specimens from the bond stress-crack opening curve with respect to the initial crack width

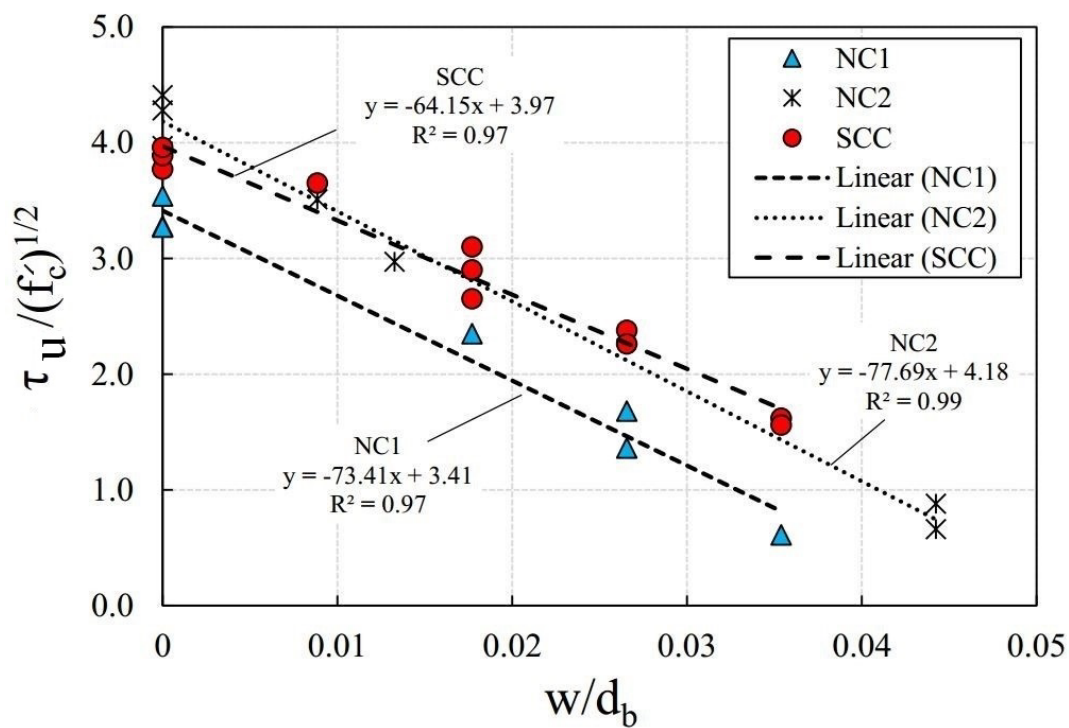


Fig. 10 Correlation of normalized bond strength to w/d_b ratio

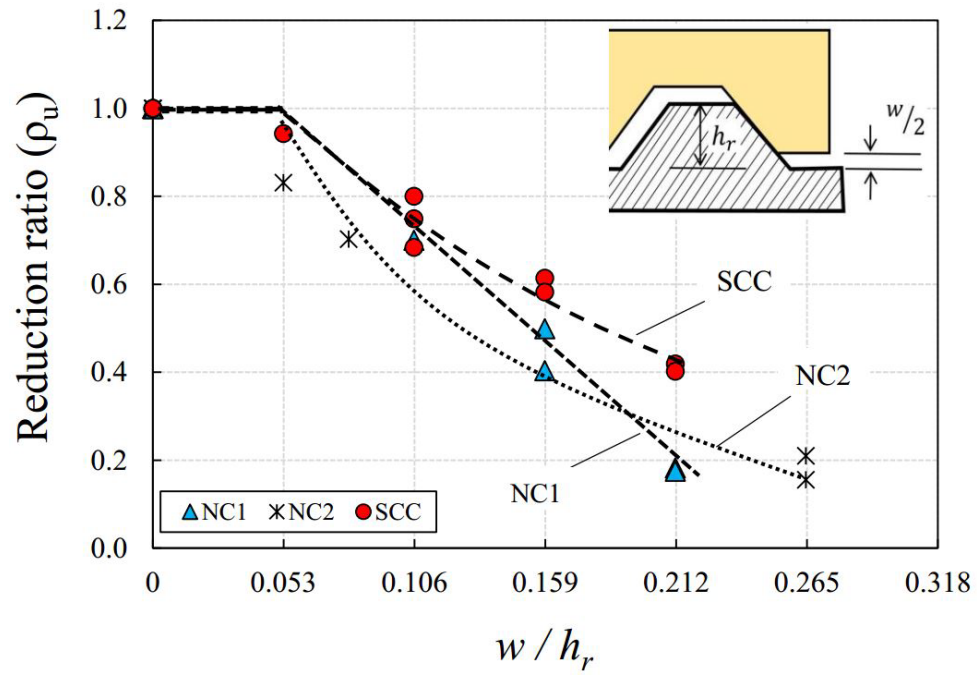


Fig. 11 Reduction ratio of maximum bond strength versus w/h_r ratio for concrete mixtures